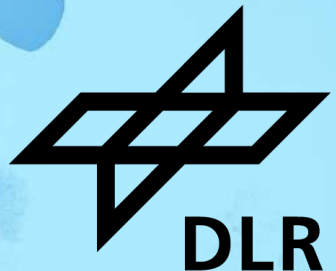


RADIATION EXPOSURE AND SHIELDING EFFECTS ON THE LUNAR SURFACE

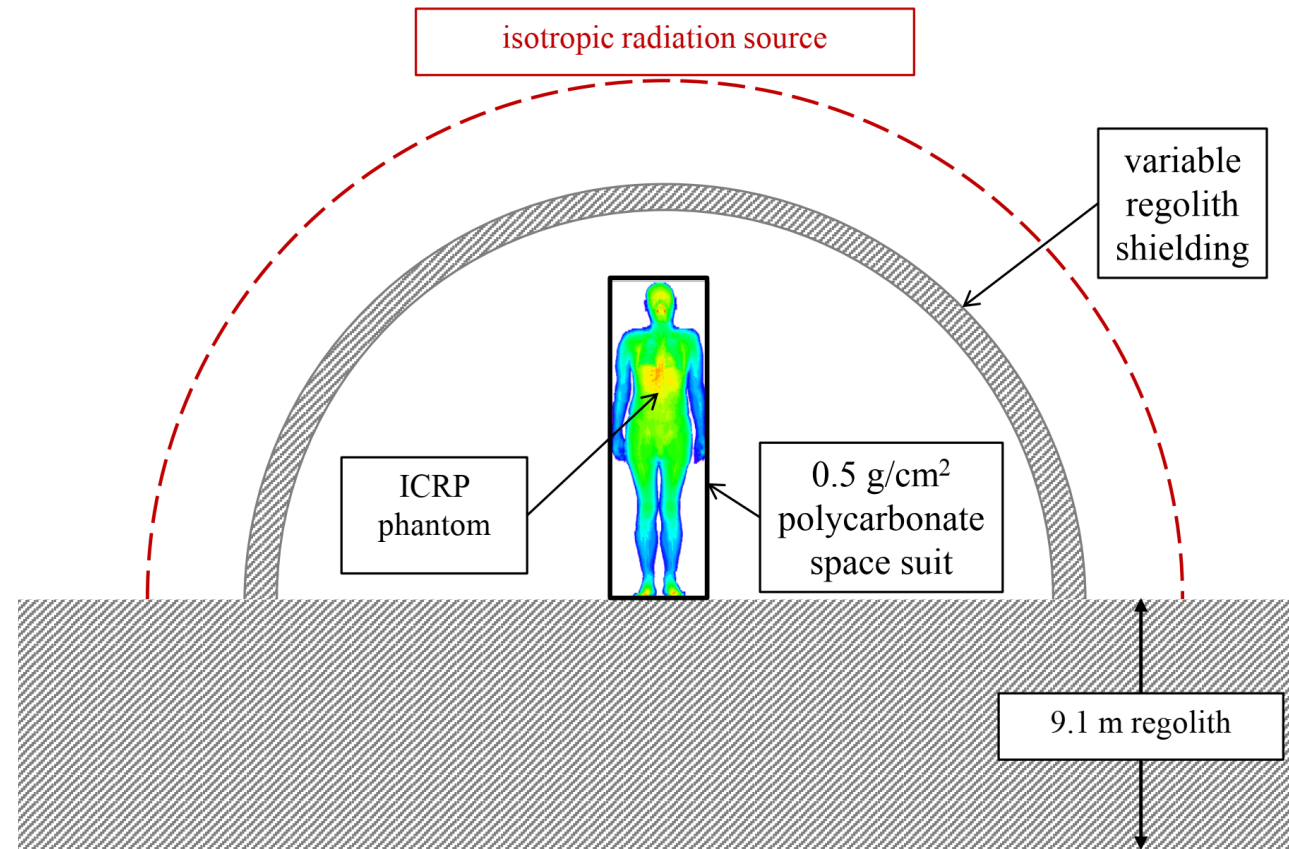
Daniel Matthiä, Thomas Berger

Institute of Aerospace Medicine, German Aerospace Center (DLR), Cologne



- Calculation of dose / dose equivalent / gray equivalent for lunar surface
 - Solar particle events: Aug. 1972, Oct 1989 (NASA design reference event)
 - Galactic cosmic radiation, solar minimum
- Unshielded (“space suit”) and various amounts of regolith shielding (dome)
 - Direct calculation with ICRP voxel phantom and fluence-to-dose conversion coefficients
- Comparison to model and experimental data from literature
- Matthiä & Berger, Radiation exposure and shielding effects on the lunar surface, Space Weather, 2024, [doi: 10.1029/2024SW004095](https://doi.org/10.1029/2024SW004095)

Model setup



Polycarbonate “space suit” cylinder + regolith dome (1g/cm^2 to 180g/cm^2)
on regolith block $20\text{m}\cdot 20\text{m}\cdot 9.1\text{m}$

Isotropic primary particle source + transport with Geant4.11.00.p04
(QGSP_INCLXX_HP_EMZ)

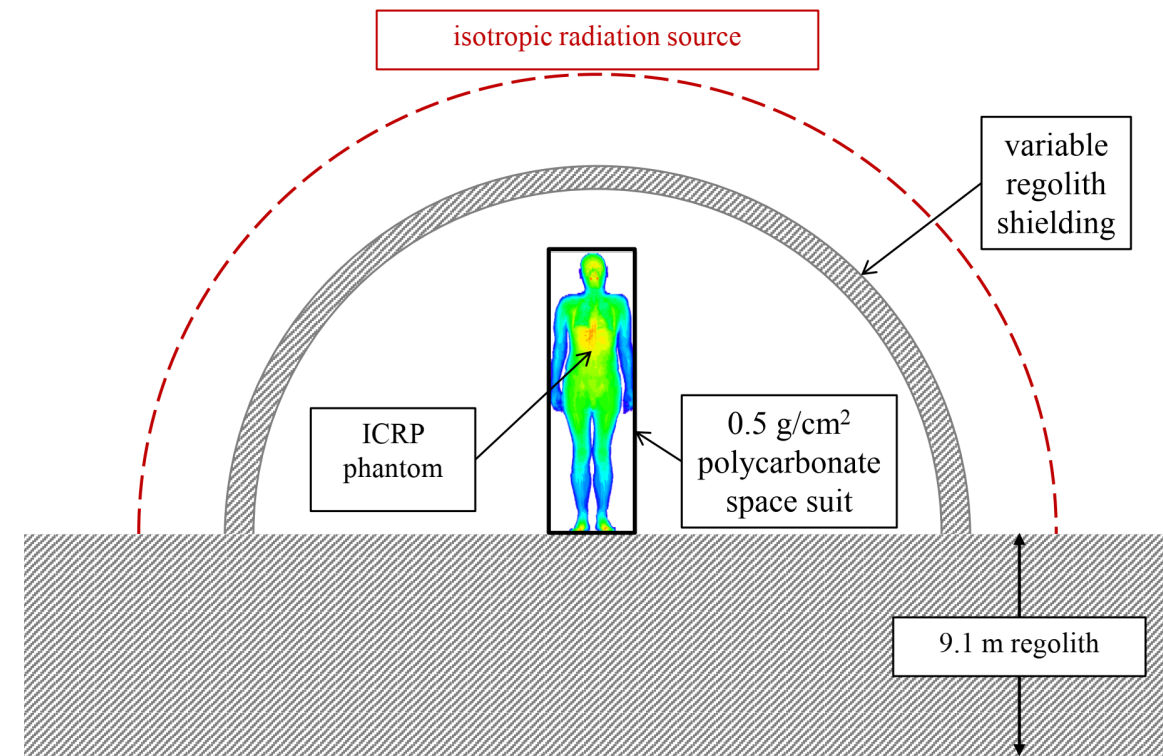
Dose calculation with voxel phantom

- Energy deposition is recorded per voxel, weighted by

- $Q(\text{LET})$ for dose equivalent
- or RBE for Gray-equivalent

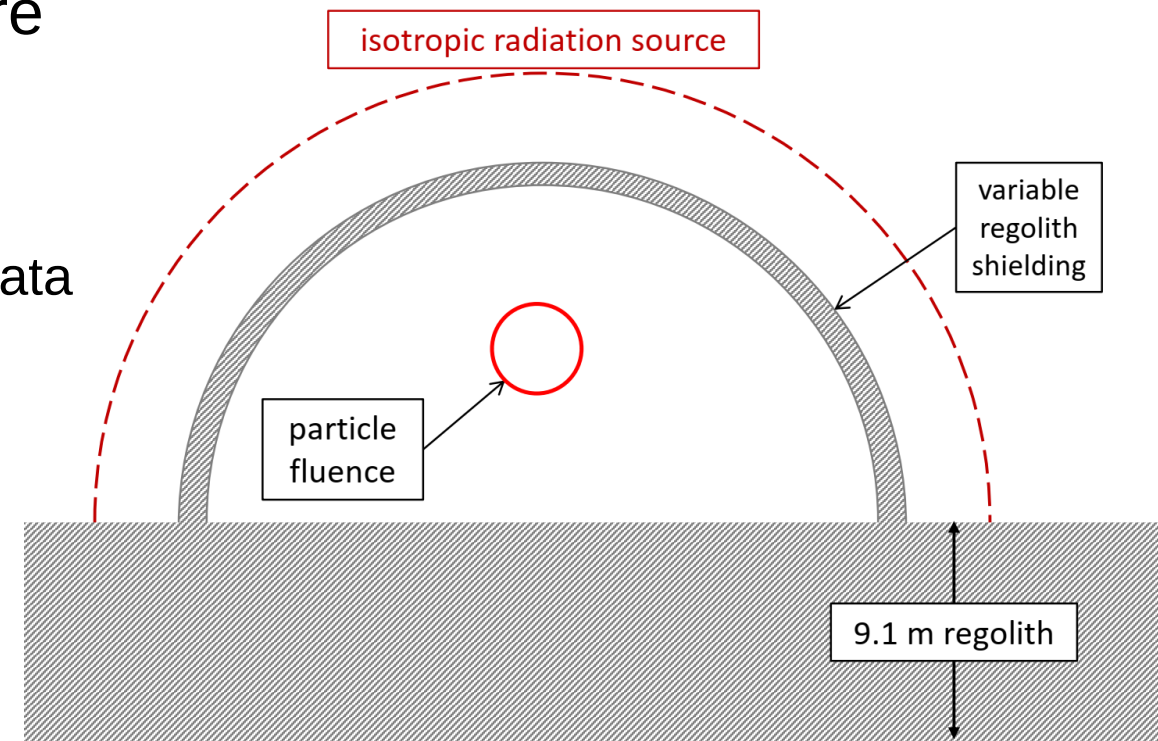
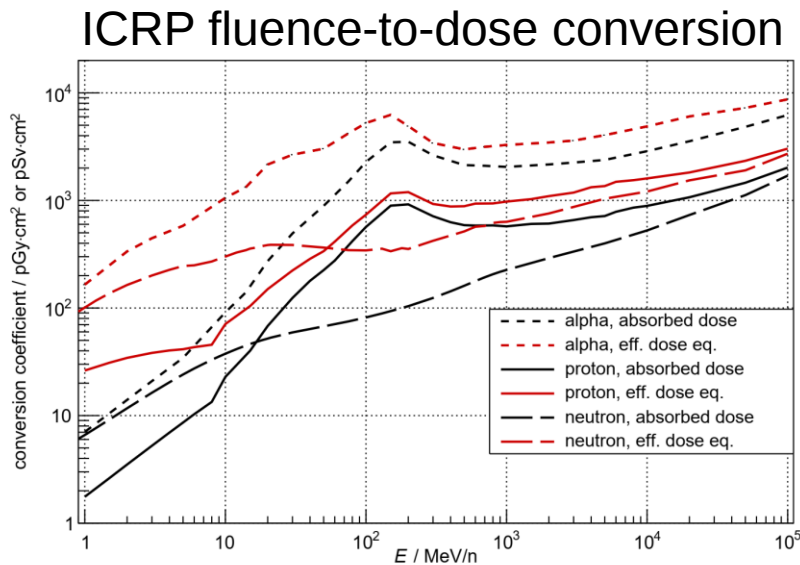
→ organ dose / dose equivalent

- weighted sum for effective dose equivalent



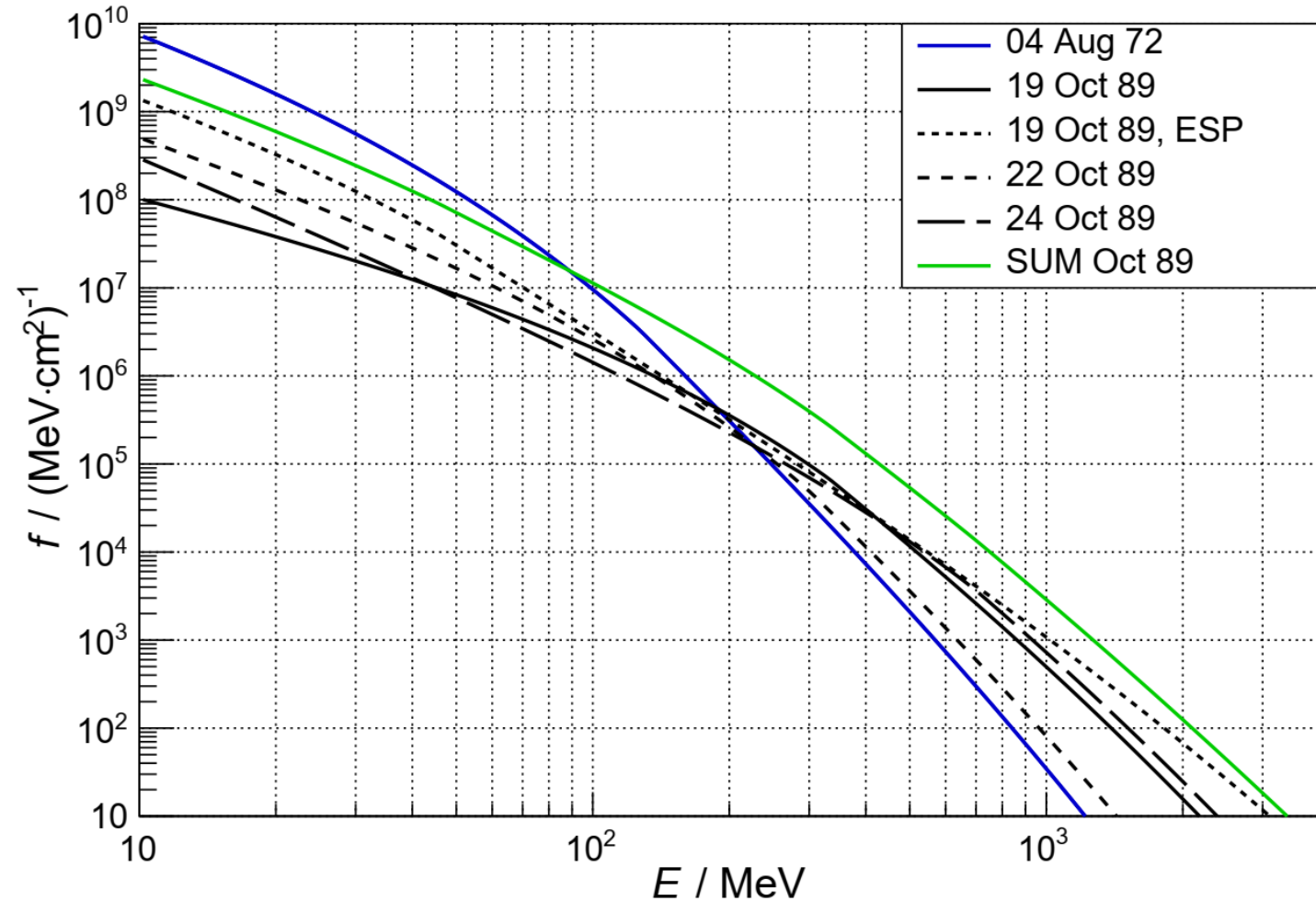
Dose calculation with fluence-to-dose conversion coefficients (only GCR)

- ICRP phantom removed
 - calculated particle fluence through sphere
 - folded with conversion coefficients
 - ICRP for organ/whole body dose
 - pre-calculated response for benchmark with data



Solar energetic particle events

- Primary protons
 $10 \text{ MeV} \leq E \leq 20 \text{ GeV}$
- Sum of Oct 89 events:
NASA design reference



Townsend et al. (2018), Life Sciences and Space Research, 17, 32–39. <https://doi.org/10.1016/j.lssr.2018.02.002>

Tylka et al. (2010), 2010 fall AGU meeting.

Skin – total dose from solar particle events

- >10 Gy-Eq unshielded
- below limit for > 4g/cm²

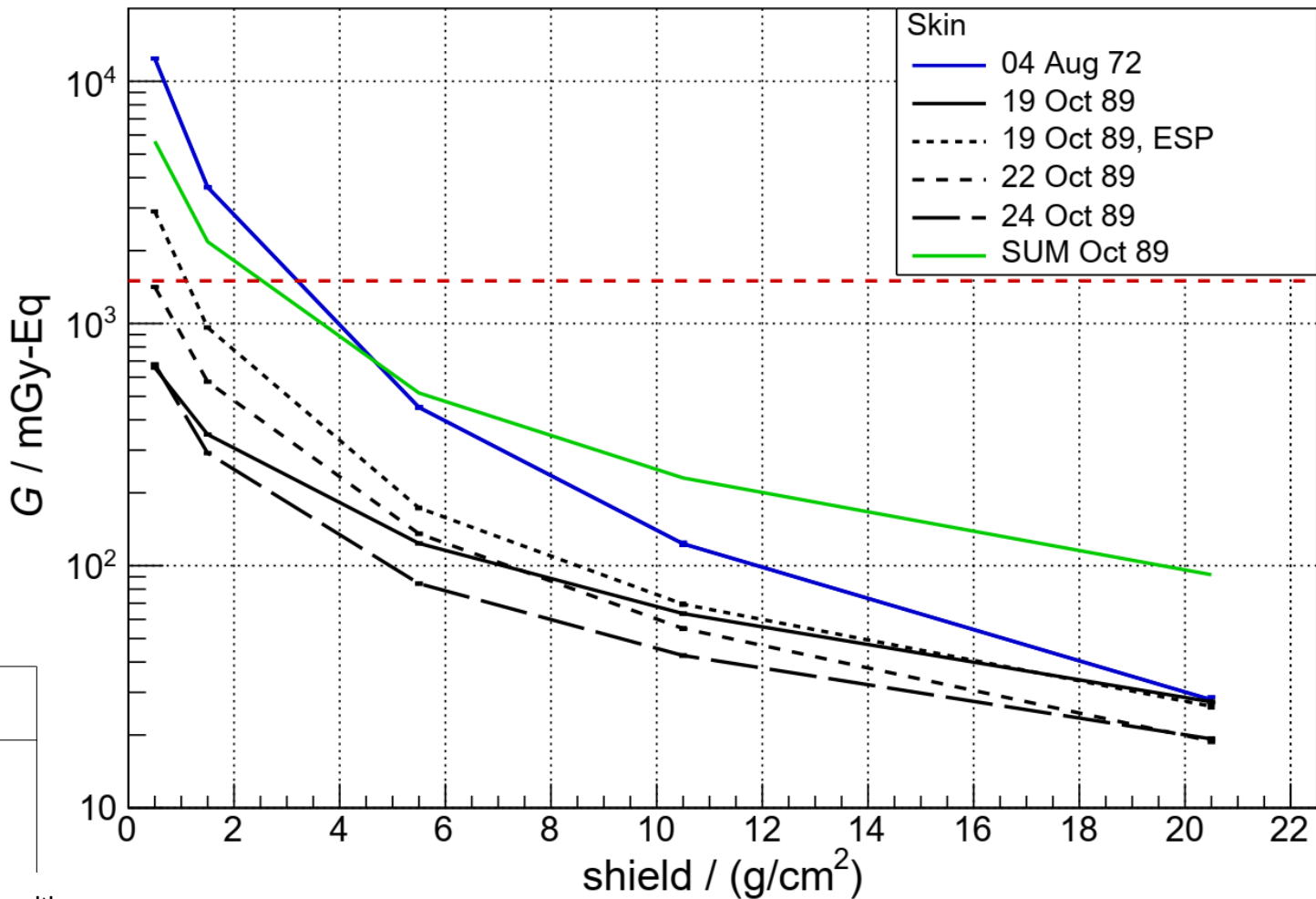
Table 1
NASA 30-Day Limits for Non-Cancer Risks (NASA, 2022)

Organ	30-Day limit (mGy-Eq)
Lens	1,000
Skin	1,500
Blood Forming Organs (BFO)	250
Circulatory System	250

Note. Data is taken as excerpt from Table 4.8–1 in NASA (2022).

Table 4.8-4—Recommended Shielding Guidelines for SPEs

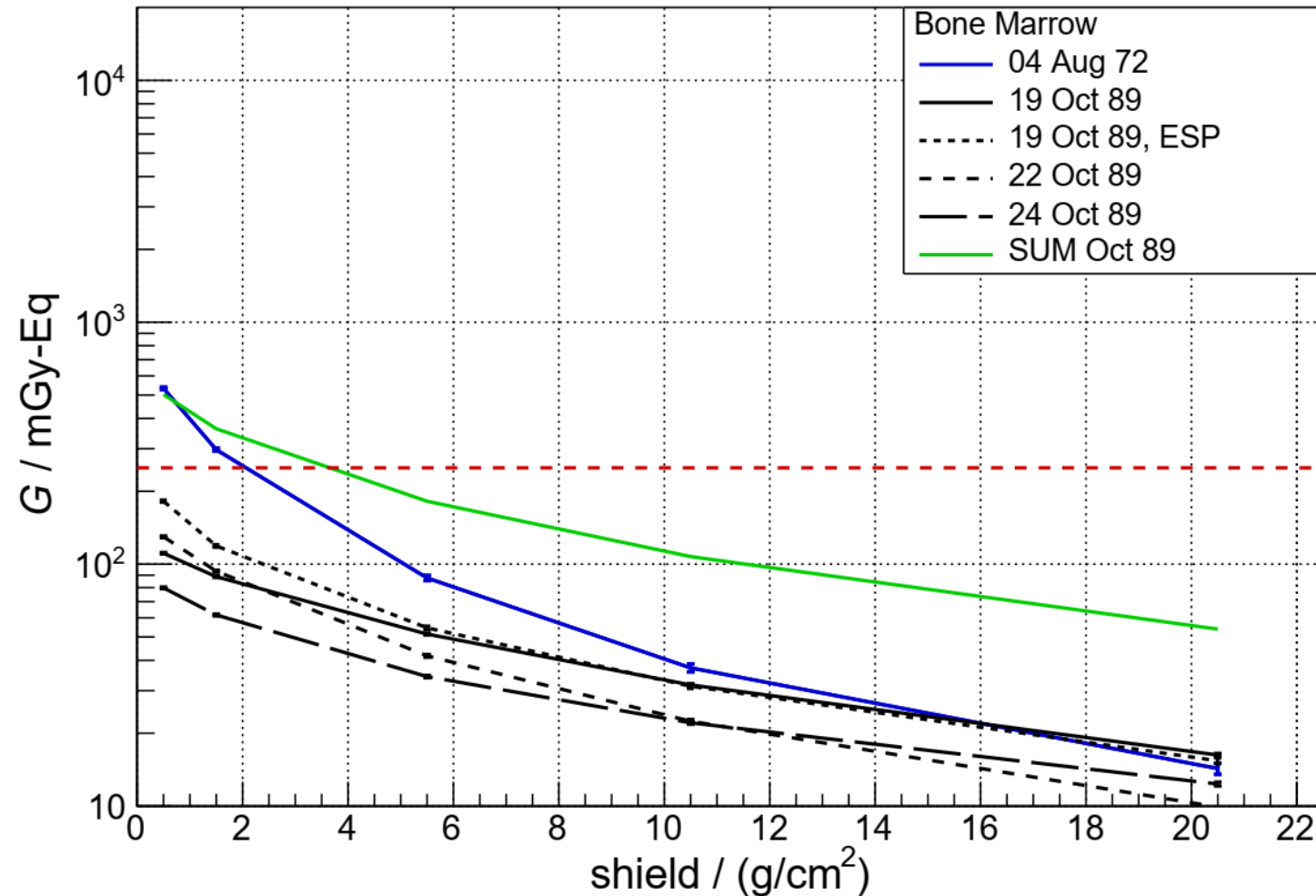
Mission Location and Duration	Shielding*	Type(s) of Shielding	Comments
Celestial surface, any duration	10 cm (or g/cm ²) water equivalent surrounding the crewmember. Considers celestial surface shielding contribution	Reconfigurable shielding already within the vehicle	Timeline of SPEs allows for reconfiguration



NASA. (2022). NASA space flight human-system standard volume 1: Crew health.

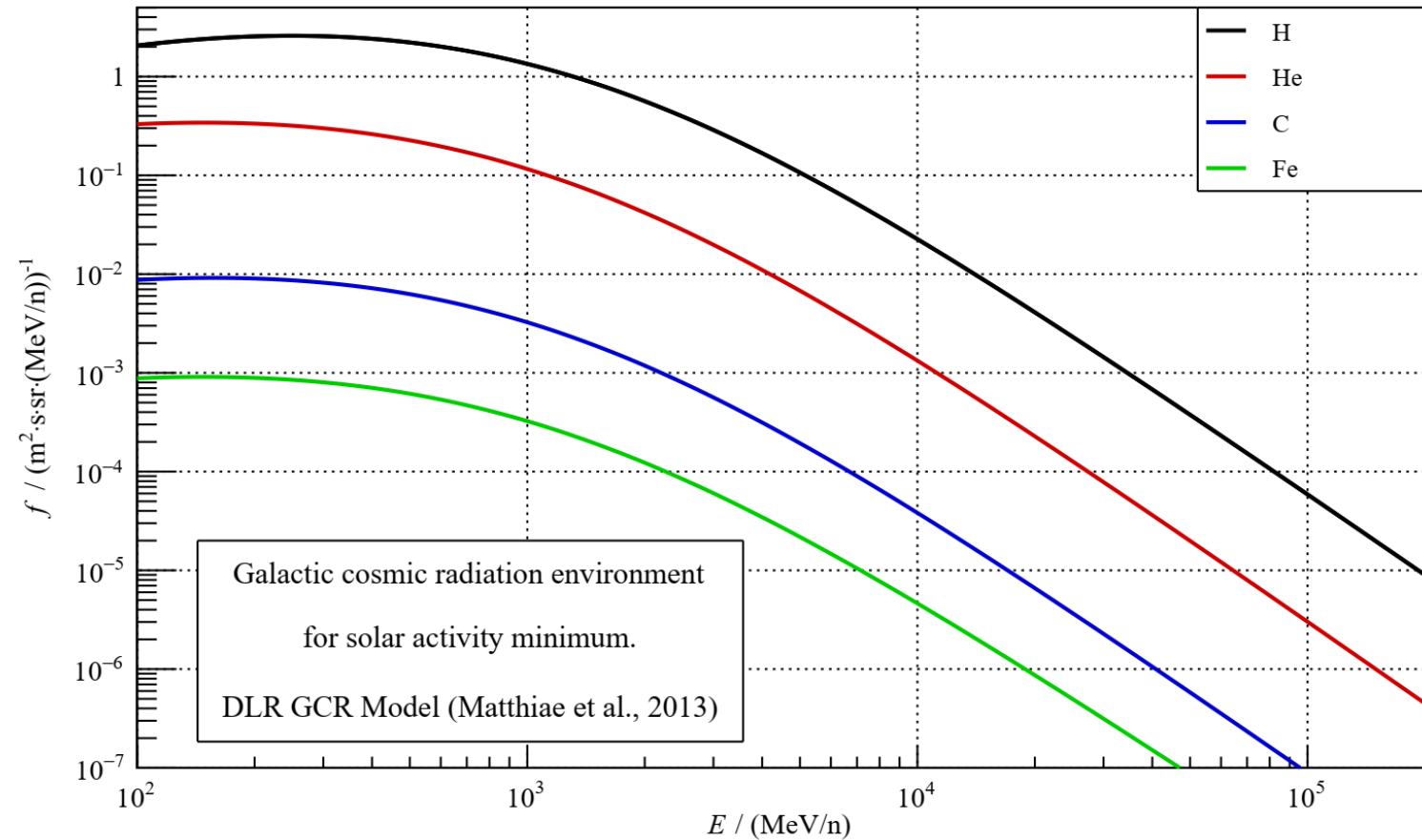
Bone marrow – total dose from solar particle events

- ~0.5 Gy-Eq unshielded
- below limit for $> 4\text{g/cm}^2$



Galactic cosmic radiation – Solar Minimum

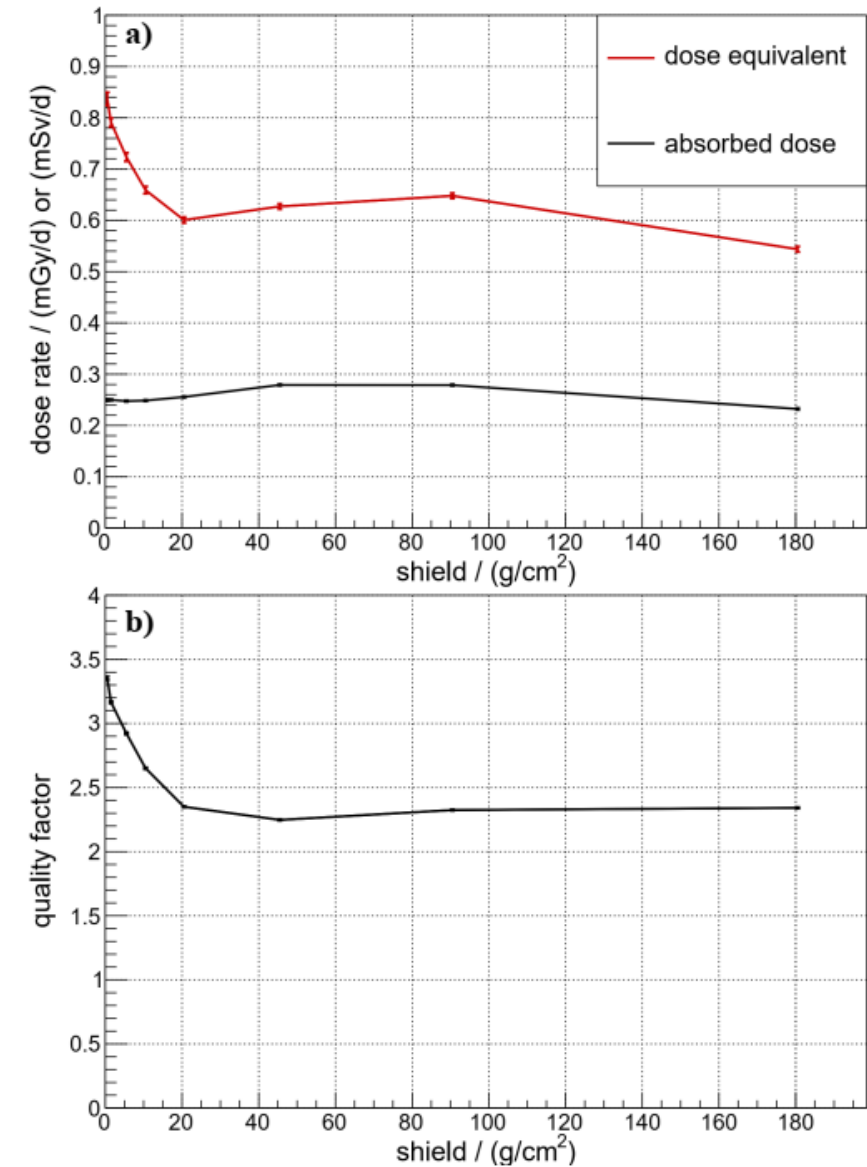
- H to Fe
- $10 \text{ MeV/n} \leq E \leq 200 \text{ GeV/n}$



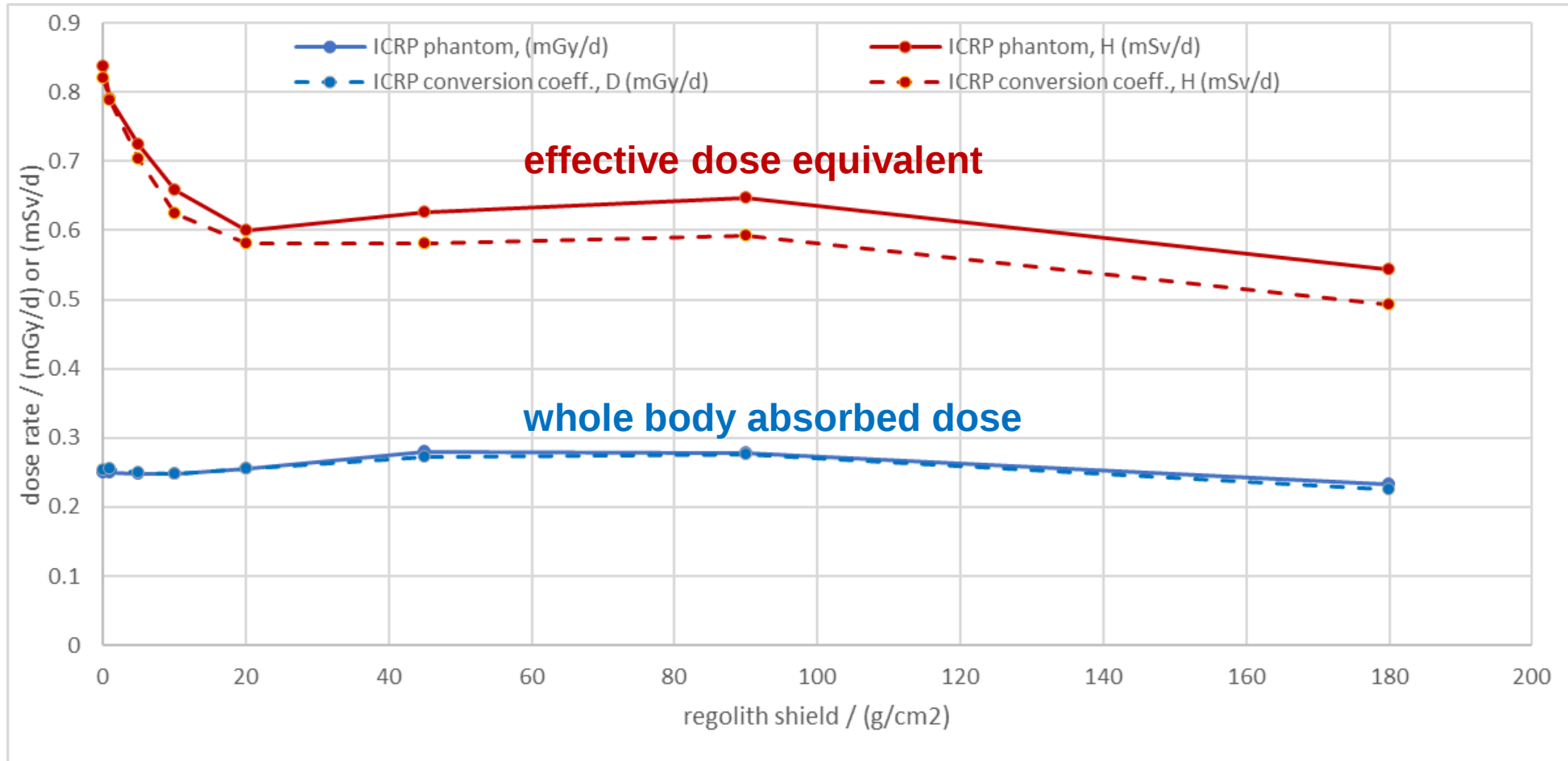
Galactic cosmic radiation – Solar Minimum

Effective dose equivalent and absorbed dose

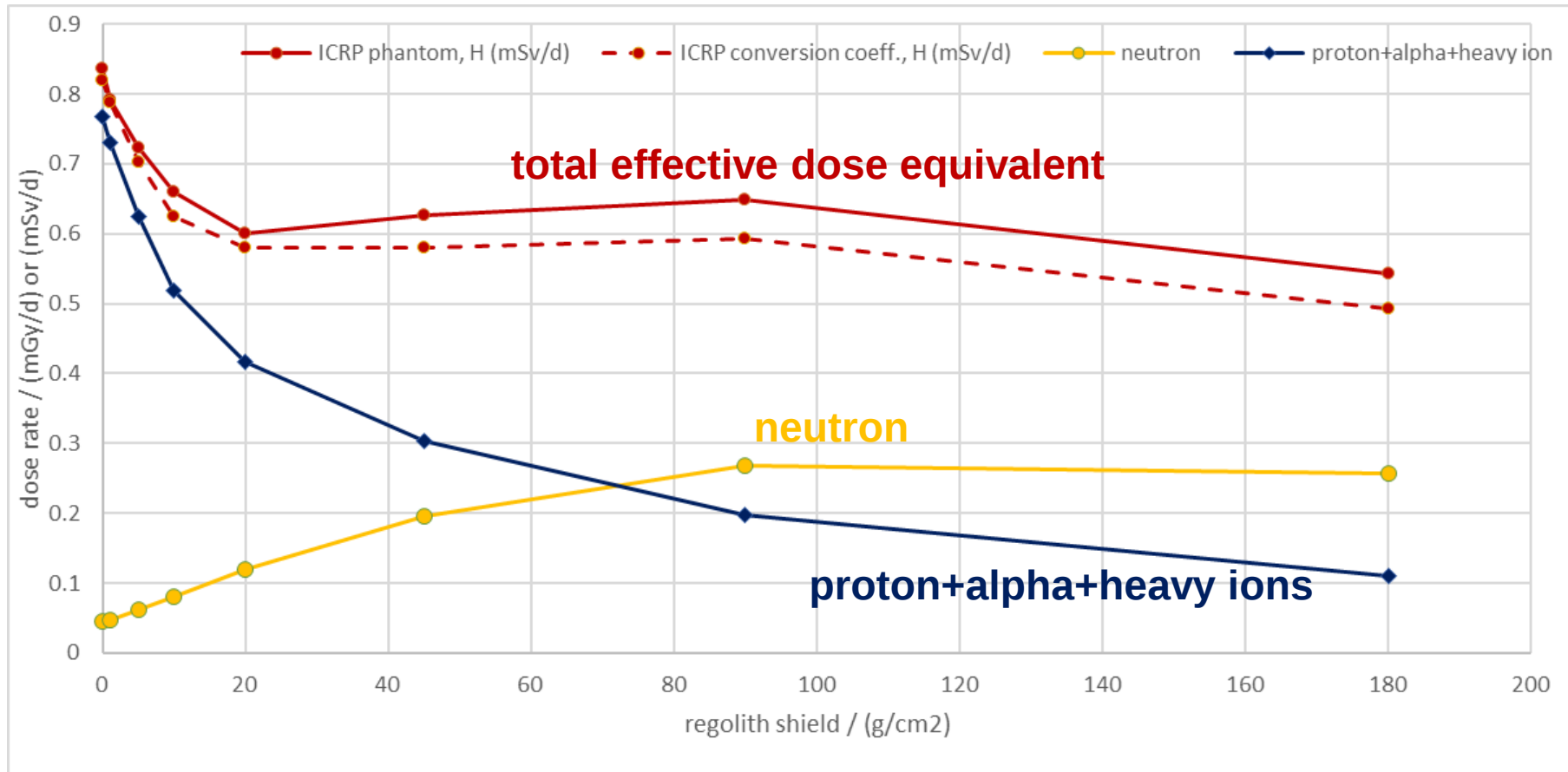
- ICRP numerical voxel phantom
- $H_E \approx 850 \text{ mSv/d}$ to 550 mSv/d
- $Q \approx 3.5$ to 2.3



Galactic cosmic radiation - comparison of ICRP voxel phantom and fluence-to-dose conversion coefficients



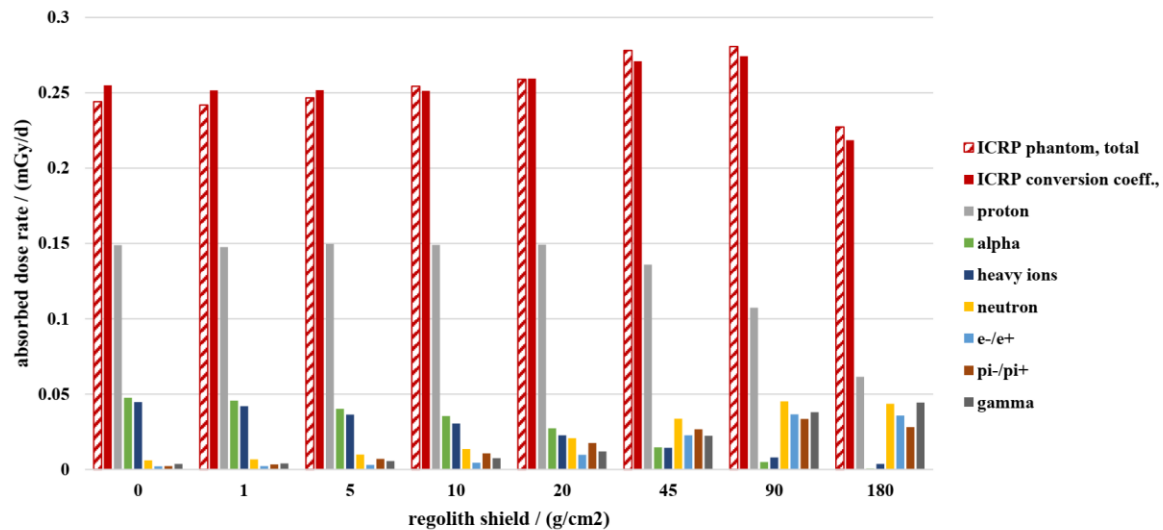
Galactic cosmic radiation – contribution of neutron and proton/heavy ions to the effective dose equivalent



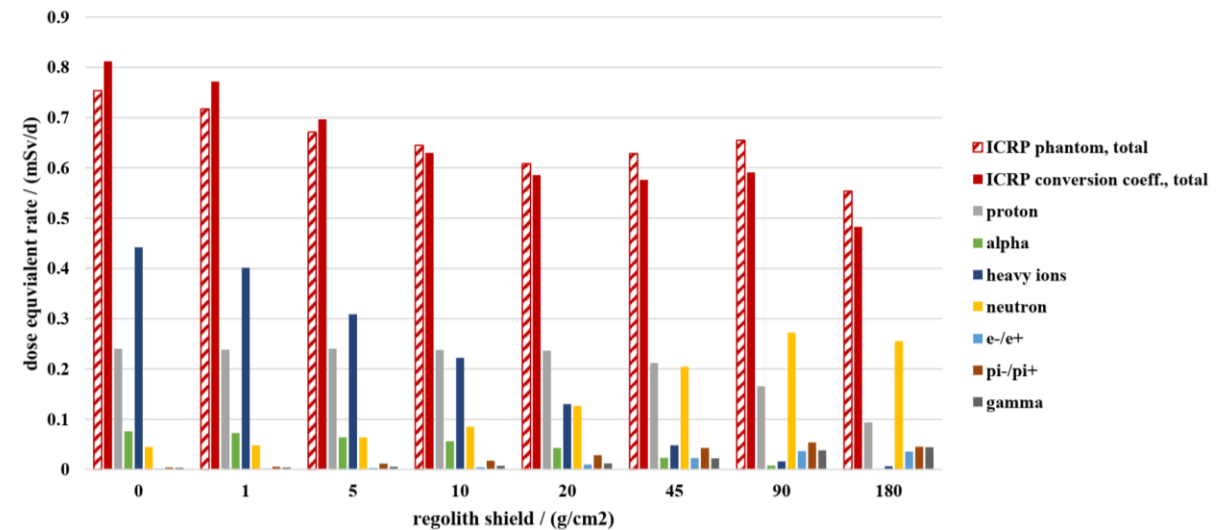
- neutron: 5% to 50%
- proton+alpha+heavy ions: 90% to 20%

Galactic cosmic radiation – contribution of different particle types

a) Contribution of different particle types to the absorbed dose rate

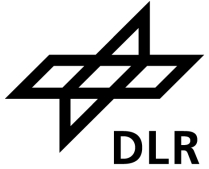


b) Contribution of different particle types to the dose equivalent rate



Comparison to model data from literature

(selected, more in the paper)



Literature data					This work	
Ref.	Source	Shielding	Quantity/unit	Value	Quantity/unit	Value
Burahmah and Heilbronn (2023), Table 2, Lunar surface, male	GCR solar min.	1 cm Al (2.33 g/cm ²)	Effective dose rate (μSv/d)	762.1	Effective dose equivalent rate (μSv/d)	768 ± 8
Shavers et al. (2023), Table 5 for GCR, Table 6 for GCR + Reference SPE, JAXA male, NASA male, RSA	GCR, Jan. 2013	5 g/cm ² Al	30-day total effective dose / mSv (JAXA/ NASA/RSA)	19.1/14.8/15.8	30-day total effective dose equivalent mSv	15.8 ± 0.1
	GCR, Jan. 2013 + Reference SPE	5 g/cm ² Al	30-day total effective dose / mSv (JAXA/ NASA/RSA)	173/ 207/328		238 ± 1

Model data from this work was rerun for Jan 2013 and interpolated to match the shielding.

Burahmah and Heilbronn (2023), *Aerospace*, 10(11), 970. <https://doi.org/10.3390/aerospace10110970>

Shavers et al. (2023), *Zeitschrift für Medizinische Physik*, 34(1), 31–43. <https://doi.org/10.1016/j.zemedi.2023.10.005>

Comparison to model data from literature, continued

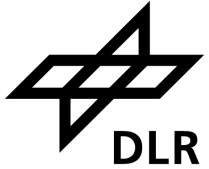
(selected, more in the paper)



Literature data					This work	
Ref.	Source	Shielding	Quantity/unit	Value	Quantity/unit	Value
Naito et al. (2020), Table 2	GCR solar min.	none	Effective dose equivalent rate/ (mSv/yr)	416 ± 18	Effective dose equivalent rate/ (mSv/yr)	299 ± 6
Dobynde and Guo (2024), Figure 1	GCR solar min.	1 g/cm2 Al;	Dose equivalent rate in	411	Effective dose equivalent rate (µSv/d), regolith shielding	288 ± 6
		10 g/cm2 Al;	spherical phantom	349		228 ± 4
		30 g/cm2 Al;	(mSv/yr)	311		212 ± 3
		60 g/cm2 Al;		322		213 ± 2

Naito et al. (2020), Journal of Radiological Protection, 40(4), 947– 961. <https://doi.org/10.1088/1361-6498/abb120>
Dobynde and Guo (2024). Nature Astronomy, 8(8), 991–999. <https://doi.org/10.1038/s41550-024-02287-8>

Comparison to measurements from literature



Literature data				This work	
Ref.	Source	Quantity/unit	Value	Quantity/unit	
Dachev et al. (2011)	GCR, solar min. (Mai 2009–Oct 2009)	Dose rate in Si $\mu\text{Gy/h}$	10.7	Dose rate in Si $\mu\text{Gy/h}$	13.4 ± 0.1
Spence et al. (2010); https://crater-web.sr.unh.edu	GCR, solar min. (December 2009)	Dose rate in Si $\mu\text{Gy/h}$	9.86 ± 0.02	Dose rate in Si $\mu\text{Gy/h}$	9.3 ± 0.1
Zhang et al. (2020)	GCR, low solar modulation (Jan/ Feb 2019)	Dose rate in Si $\mu\text{Gy/h}$	10.2 ± 0.9 (charged) 3.1 ± 0.5 (neutral)	Dose rate in Si $\mu\text{Gy/h}$	9.2 ± 0.1 (charged) 0.0730 ± 0.0003 (neutral)
	GCR, low solar modulation (Jan/ Feb 2019)	Dose equivalent rate in water $\mu\text{Sv/h}$	57.1 ± 10.6 (charged)	Dose equivalent rate in tissue $\mu\text{Sv/h}$	64.7 ± 0.8 (charged)

Model data was calculated from particle fluence with corresponding conversion coefficients for Si and tissue slabs.

Dachev et al. (2011), *Advances in Space Research*, 48(5), 779–791. <https://doi.org/10.1016/j.asr.2011.05.009>

Spence et al. (2010), *Space Science Reviews*, 150(1), 243–284. <https://doi.org/10.1007/s11214-009-9584-8>

Zhang et al. (2020), *Science Advances*, 6(39), eaaz1334. <https://doi.org/10.1126/sciadv.aaz1334>

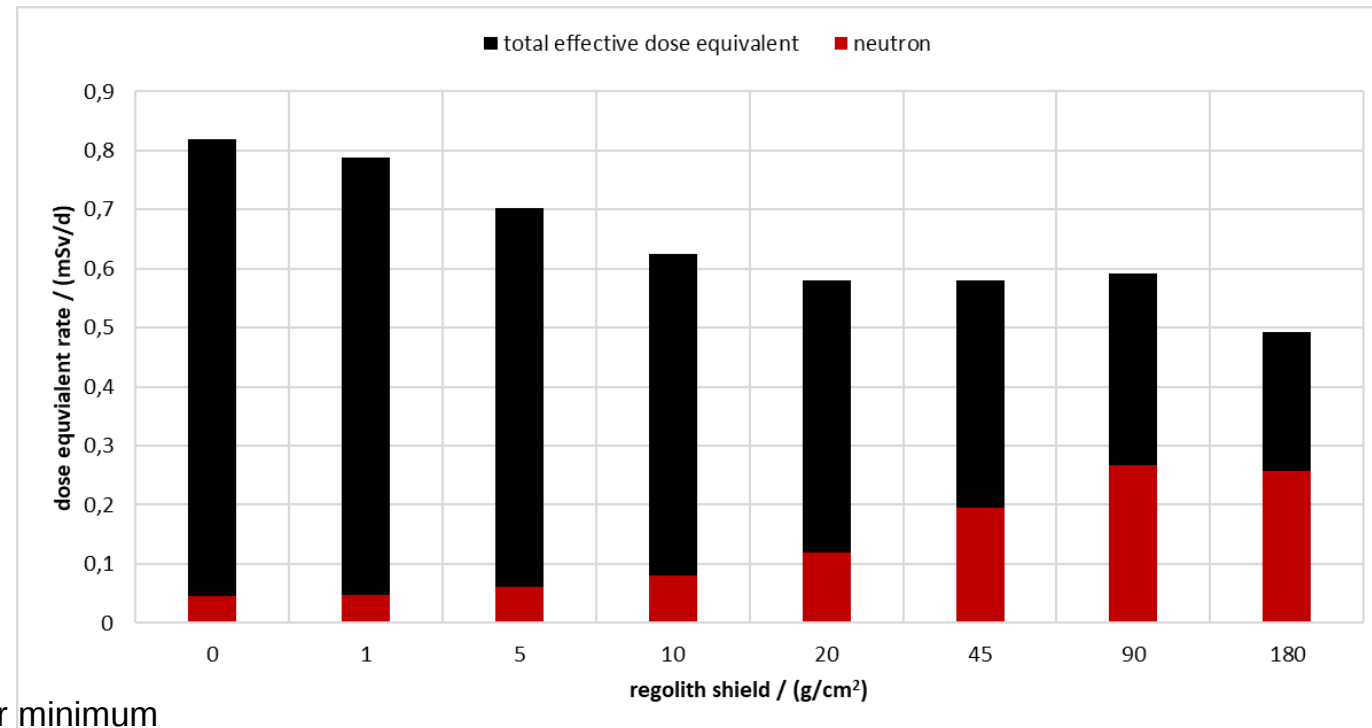
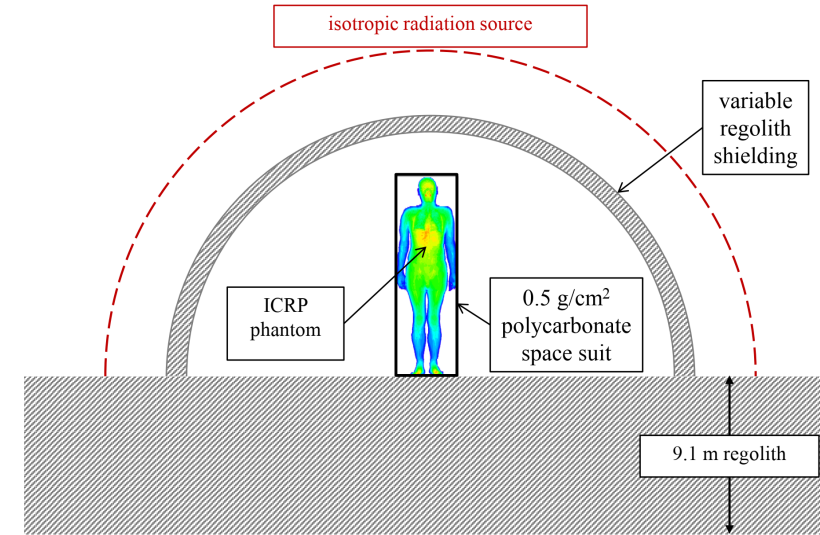
Neutrons on the Lunar Surface

- GCR, solar energetic particles
- Albedo neutrons from interactions with regolith, potentially habitats



Neutrons on the Lunar Surface

- GCR, solar energetic particles
- Albedo neutrons from interactions with regolith, potentially habitats
- GCR (space suit)*
 - total ~ 0.8 mSv/d
 - neutrons ~ 0.05 mSv/d (6%)
- Neutron contribution up to ~50%



*model calculations of the total effective dose equivalent for solar minimum

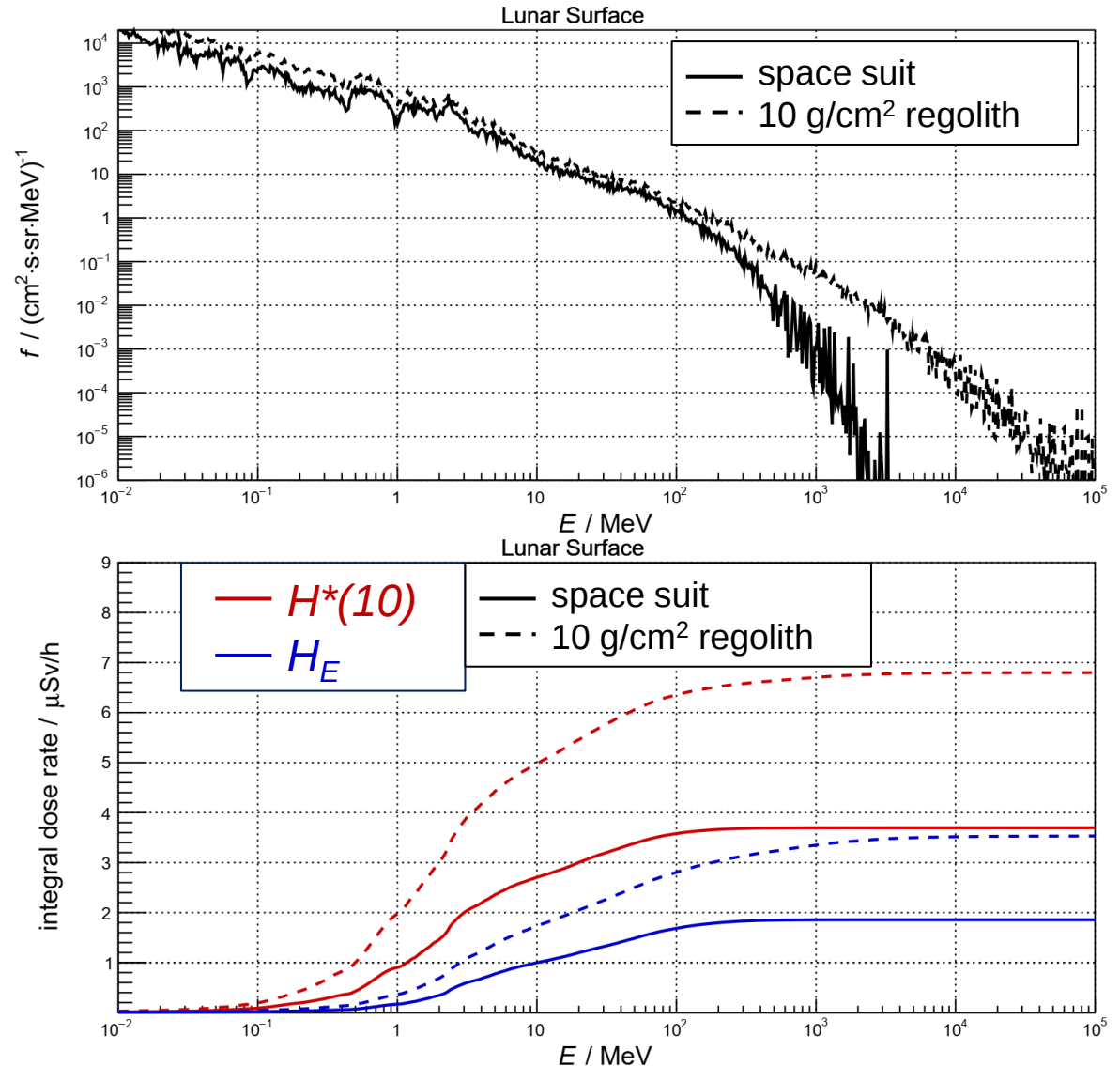
Neutron spectra on the Lunar Surface (model)

■ Space Suit:

- ~ only albedo neutrons
- energies ~0.1MeV – 100 MeV
- ambient dose eq. $H^*(10)$: ~4 $\mu\text{Sv/h}$
- effective dose equivalent H_E : ~2 $\mu\text{Sv/h}$

■ Habitat (10g/cm² regolith):

- energies ~0.1MeV – few GeV
- ambient dose eq. $H^*(10)$: ~7 $\mu\text{Sv/h}$
- effective dose equivalent H_E : ~3.5 $\mu\text{Sv/h}$



- Solar particle events: Aug. 1972, Oct 1989 (NASA design reference event)
 - “space suit”: both events well above the 30 day limit for both skin and bone marrow
 - $\sim 4\text{g/cm}^2$ required to reduce the doses below the limit
- Galactic cosmic radiation, solar minimum
 - Absorbed dose: ~ 250 to $300\text{ }\mu\text{Gy/d}$, little impact of shielding
 - Effective dose equivalent: ~ 850 to $550\text{ }\mu\text{Sv/d}$ (decrease of Q from ~ 3.5 to 2.3)
- Comparison to model data from literature: mostly ok
- Comparison to experimental data from literature:
 - Good agreement for charged particles (in silicon)
 - ? for neutrons
- Matthiä & Berger, Radiation exposure and shielding effects on the lunar surface, Space Weather, 2024, [doi: 10.1029/2024SW004095](https://doi.org/10.1029/2024SW004095)
- More neutron stuff (aviation and ISS), IAEA workshop at https://conferences.iaea.org/event/410/sessions/3309/attachments/20676/34876/3..2025-07-07-DLR-ME-SBA-Matthi%C3%A4_nBHEAM_Vienna.pdf

Thema: Radiation Exposure from Neutrons in Spaceflight and Aviation
Datum: 2025-09-01
Autor: Daniel Matthiä
Institut: Institut für Luft- und Raumfahrtmedizin
Bildcredits: Alle Bilder „DLR (CC BY-NC-ND 3.0)“,
sofern nicht anders angegeben

Neutrons in Aviation

- GCR (and rarely high energy solar particles)
- Secondary neutrons from interactions with the atmosphere
- Total dose rates up to $10\mu\text{Sv/h}$
 - 40% - 60% from neutrons

EURADOS, “Cosmic Radiation Exposure of Aircraft Crew”, Final report of the EURADOS WG 5, 2004.

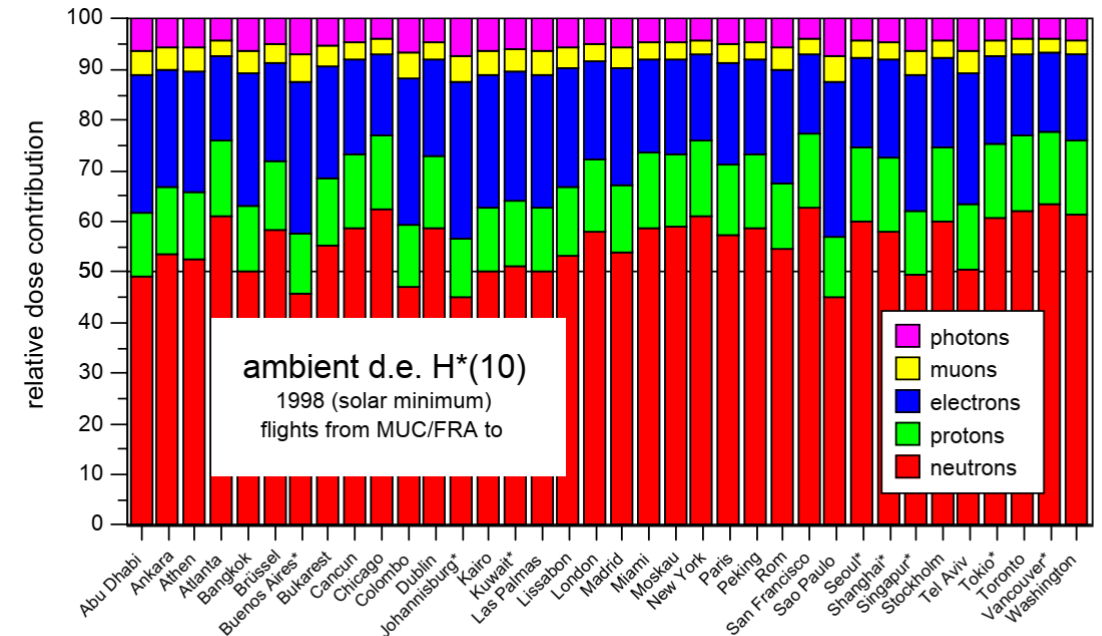
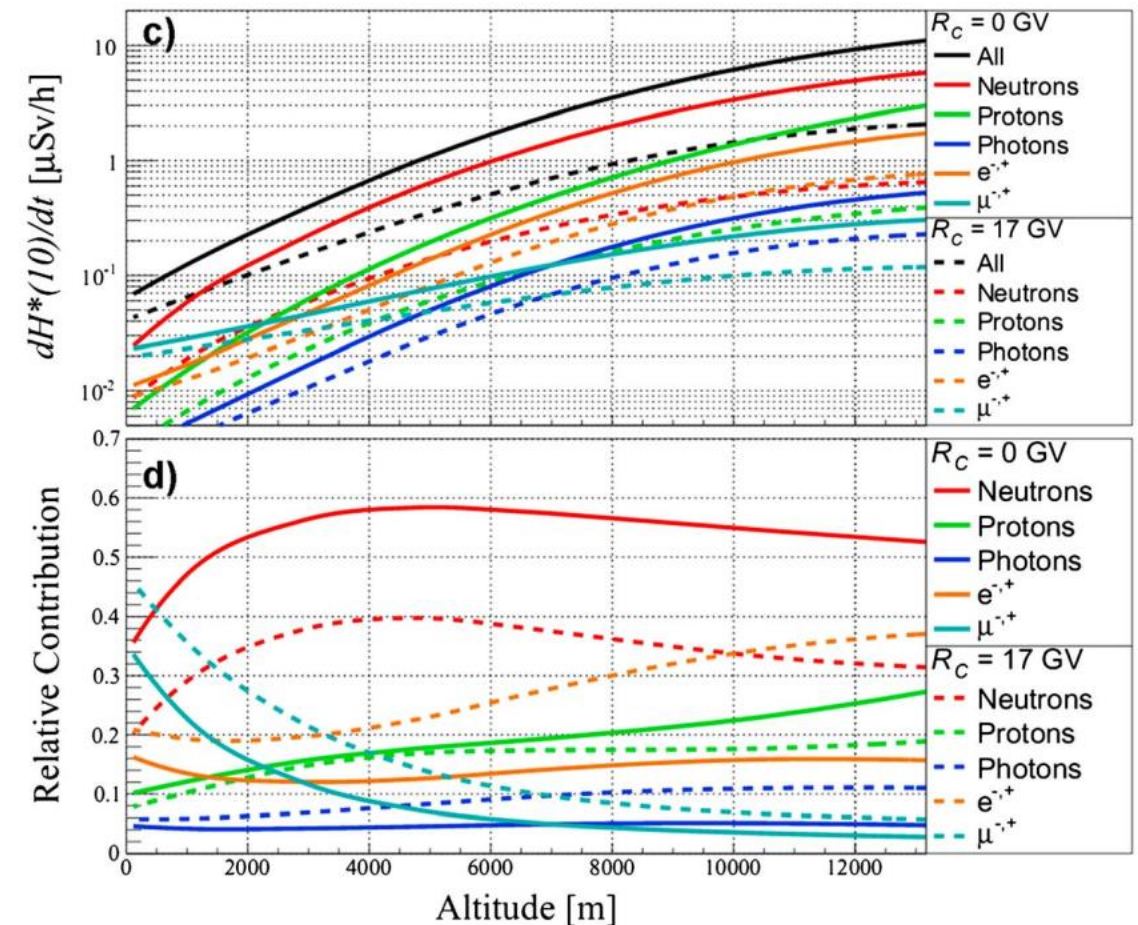


Figure II.6 Relative contribution to ambient dose equivalent for various destinations near solar minimum condition (1998) for 37000 ft flight altitude.

Neutrons in Aviation

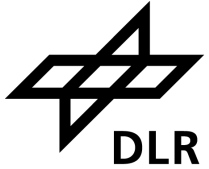
- GCR (and rarely high energy solar particles)
- Secondary neutrons from interactions with the atmosphere
- Total dose rates up to 10 $\mu\text{Sv/h}$
 - 40% - 60% from neutrons
- Neutron contribution decreases
 - with increasing altitude
 - with increasing magnetic shielding

Matthiä et al., Numerical calculation of the radiation exposure from galactic cosmic rays at aviation altitudes with the PANDOCA core model, Space Weather, 2014, doi: [10.1002/2013SW001022](https://doi.org/10.1002/2013SW001022)

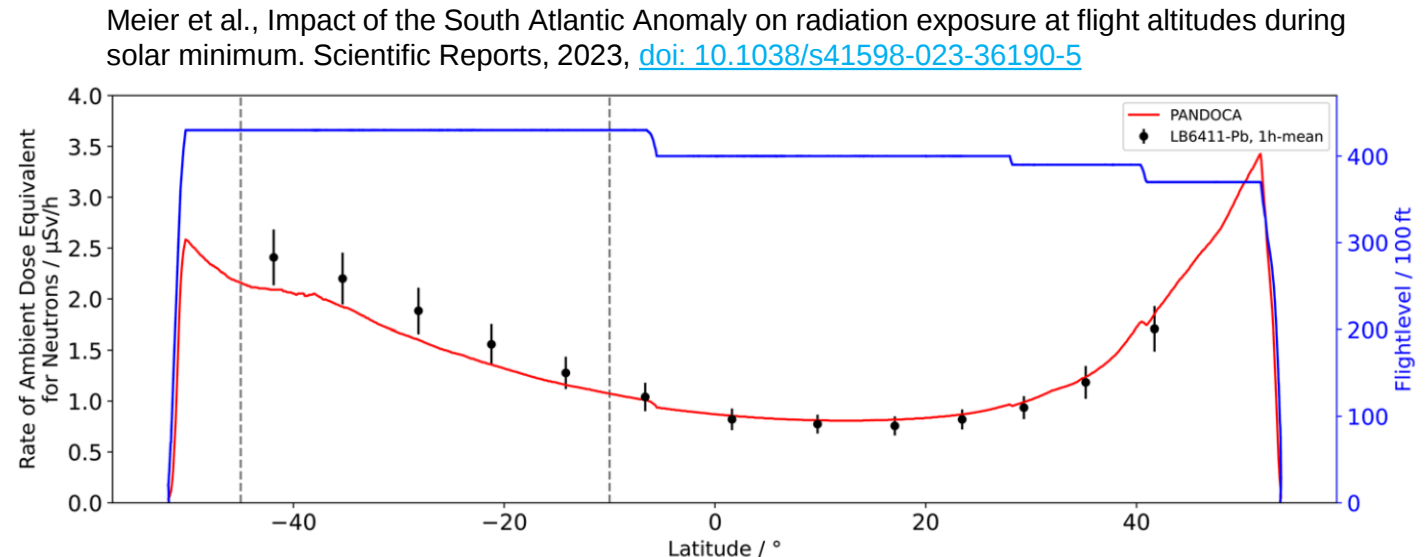


Neutrons in Aviation – Measuring flight to Falkland Islands

Ambient dose equivalent $H^*(10)$



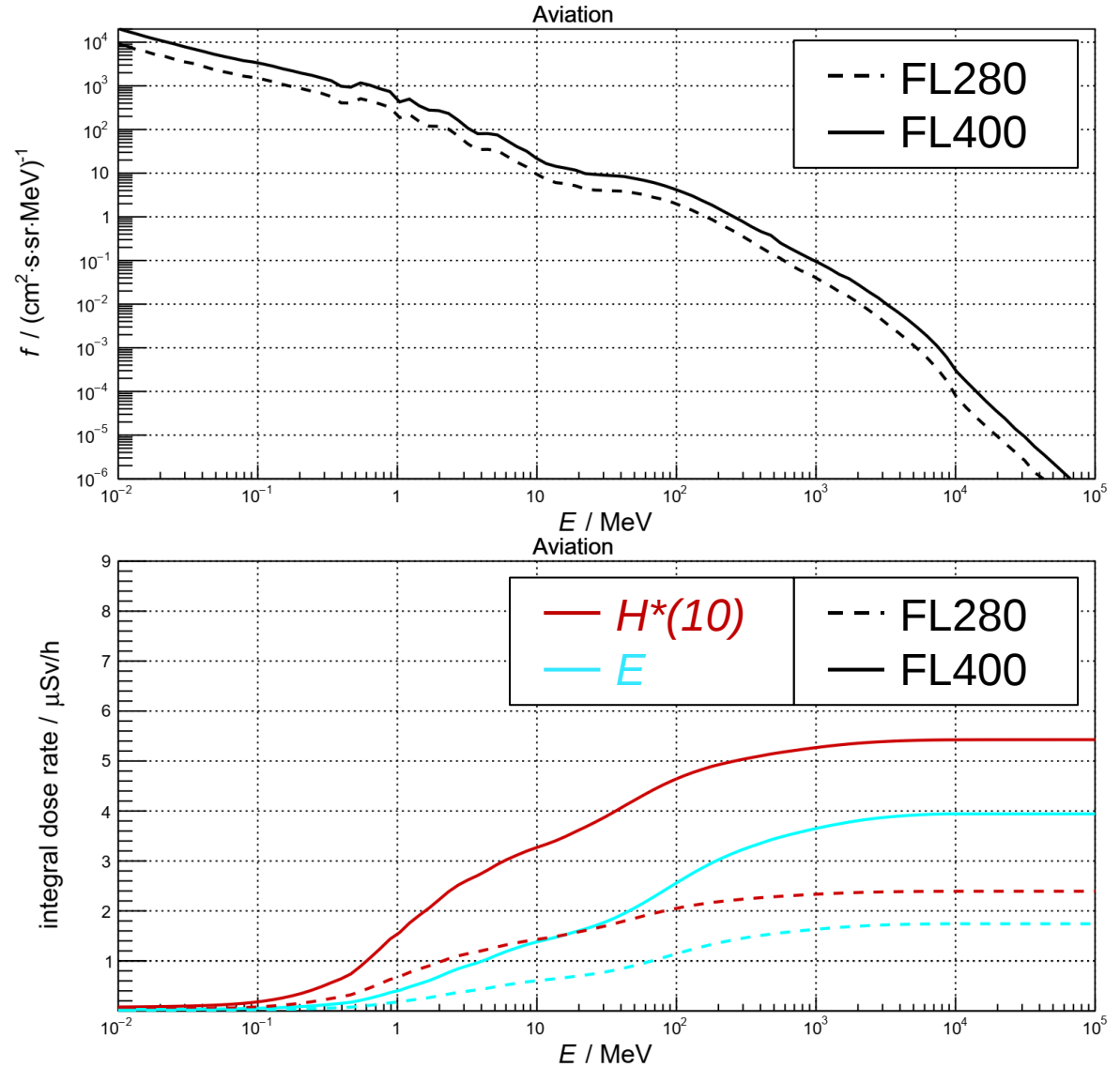
- Measurement $H^*(10)$:
 - total: $51 \pm 3 \mu\text{Sv}$
 - neutron: $23 \pm 2 \mu\text{Sv}$ (45%)
- Model/PANDOCA $H^*(10)$:
 - total: $54 \mu\text{Sv}$
 - neutron: $22.5 \mu\text{Sv}$ (42%)



Ambient dose equivalent rate vs. latitude measured with neutron dose rate monitor LB6411-Pb

Neutrons in Aviation – Neutron spectra at different altitudes (model)

- Energies $\sim 0.1\text{MeV}$ – few GeV contribute
- Ambient dose eq. $H^*(10)$: $2.5 - 5 \mu\text{Sv/h}$
- Effective dose E : $2 - 4 \mu\text{Sv/h}$



Neutrons in Low Earth Orbit International Space Station (ISS)

- GCR, trapped particles (radiation belts)
- Neutrons from interactions with ISS, cargo etc.



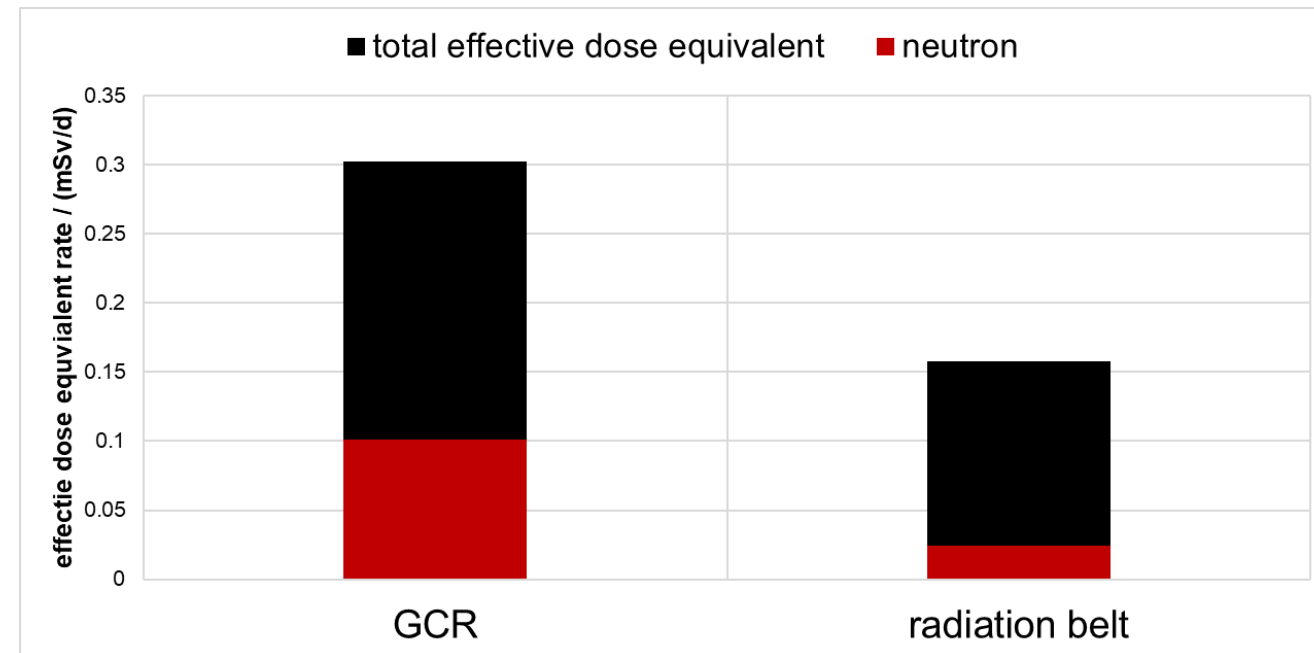
NASA/ESA T. Pesquet

Neutrons in Low Earth Orbit International Space Station (ISS)

- GCR, trapped particles (radiation belts)
- Neutrons from interactions with ISS, cargo etc.
- GCR (COLUMBUS lab.)*
 - total ~ 0.3 mSv/d
 - neutrons ~ 0.1 mSv/d (33%)
- Radiation belt (COLUMBUS lab.)*
 - total ~ 0.16 mSv/d
 - neutrons ~ 0.02 mSv/d (12%)

*model calculations of the total effective dose equivalent for solar minimum

Berger et al., Long term variations of galactic cosmic radiation on board the International Space Station, on the Moon and on the surface of Mars, JSWSC, 2020, [doi: 10.1051/swsc/2020028](https://doi.org/10.1051/swsc/2020028)



Neutron spectra in Low Earth Orbit International Space Station (model)

■ Radiation Belt:

- energies $\sim 0.1\text{ MeV} - 100\text{ MeV}$
- ambient dose eq. $H^*(10)$: $\sim 2\text{ }\mu\text{Sv/h}$
- effective dose equivalent H_E : $\sim 1\text{ }\mu\text{Sv/h}$

■ GCR:

- energies $\sim 0.1\text{ MeV} - \text{few GeV}$
- ambient dose eq. $H^*(10)$: $\sim 9\text{ }\mu\text{Sv/h}$
- effective dose equivalent H_E : $\sim 4\text{ }\mu\text{Sv/h}$

